

**TRANSMITTAL
FORM**

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Application Number

10/656,802

Filing Date

September 4, 2003

First Named Inventor

Erik Scher

Group Art Unit

1753

Examiner Name

Unassigned

Total Number of Pages in This Submission

Attorney Docket Number

40-001320US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO form 1449, cited referenced and receipt acknowledgment postcard
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jonathan Alan Quine, Reg. No. 41,261, Quine Intellectual Property Law Group, P.C.		
Signature			
Date	January 26, 2004		

CERTIFICATE OF MAILING

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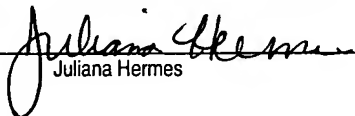
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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By


Juliana Hermes

Attorney Docket No. 40-001320US
Client Ref. No. 01-001320US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Erik Scher, et al.

Application No.: 10/656,802

Filed: September 4, 2003

For: NANOSTRUCTURE AND
NANOCOMPOSITE BASED
COMPOSITIONS AND
PHOTOVOLTAIC DEVICES

Examiner: Unassigned

Art Unit: 1753

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

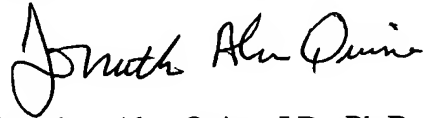
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Erik Scher, et al.
Application No.: 10/656,802
Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Jonathan Alan Quine". The signature is fluid and cursive, with the first name "Jonathan" being more prominent.

Jonathan Alan Quine, J.D., Ph.D.
Reg. No. 41,261

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Complete if Known

Application Number	10/656,802
Filing Date	September 4, 2003
First Named Inventor	Erik Scher
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Examiner Name	Unassigned
Attorney Docket Number	40-001320US
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U.S. PATENT DOCUMENTS

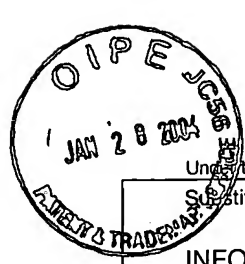
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
	1	5,505,928		Alivisatos et al.	04-19-1996	
	2	5,690,807		Clark Jr. et al.	11-27-1997	
	3	5,751,018		Alivisatos et al.	05-12-1998	
	4	5,897,945		Lieber et al.	04-27-1999	
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	6	5,997,832		Lieber et al.	12-07-1999	
	7	6,036,774		Lieber et al	03-14-2000	
	8	6,048,616		Gallagher et al.	04-11-2000	
	9	6,136,156		El-Shall, et al.	10-24-2000	
	10	6,225,198		Alivisatos et al.	05-01-2001	
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	12	6,245,988		Gratzel et al.	06-12-2001	
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	18	10/405,914		Empedocles, et al.	04-01-2003	

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Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	19	WO	94/04497		Ecole Polytechnique Federale de Lausanne (EPFL)	03-03-1994		
	20	WO	95/29924		Ecole	11-09-1995		

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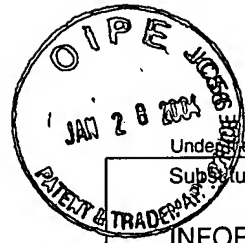
					Polytechnique Federale de Lausanne (EPFL)			
	21	WO	02/17362		President and Fellows of Harvard College	02-28-2002		
	22	WO	02/080280		The Regents of the University of California	10-10-2002		
	23	WO	03/085700		Nanosys, Inc.	10-16-2003		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	24	Bjork et al. (2002) "One-dimensional steeplechase for electrons realized" <u>Nano Letters</u> 2, 86-90	
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	26	Cui et al. (2000) "Doping and electrical transport in silicon nanowires" <u>J. Phys. Chem. B</u> 104, 5213-5216	
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34	Huynh, <i>et al.</i> , (1999) "CdSe Nanocrystal Rods/Poly(3-hexylthiophene) Composite Photovoltaic Devices" <u>Adv. Materials</u> 11(11):923-927.
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36	Jun <i>et al.</i> (2001) "Controlled synthesis of multi-armed CdS nanorod architectures using monosurfactant system" <u>J. Am. Chem. Soc.</u> 123, 5150-5151
37	Liu <i>et al.</i> (2001) "Sol-Gel Synthesis of Free-Standing Ferroelectric Lead Zirconate Titanate Nanoparticles" <u>J. Am. Chem. Soc.</u> 123, 4344
38	Manna <i>et al.</i> (2000) "Synthesis of Soluble and Processable Rod-, Arrow-, Teardrop-, and Tetrapod-Shaped CdSe Nanocrystals" <u>J. Am. Chem. Soc.</u> 122, 12700-12706
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40	Morales <i>et al.</i> (1998) "A laser ablation method for the synthesis of crystalline semiconductor nanowires" <u>Science</u> 279, 208-211
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46	Yun <i>et al.</i> (2002) "Ferroelectric Properties of Individual Barium Titanate Nanowires Investigated by Scanned Probe Microscopy" <u>Nanoletters</u> 2, 447.

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